

MOST IMMEDIATE

F.No. 11015/08/2016-TSP
Government of India
Ministry of Tribal Affairs

Shastri Bhawan, New Delhi-110001
Dated, 16th July, 2016

To

- 1 Principal Secretary / Secretary (in charge of) Tribal Development (All States)
- 2 Commissioner / Director (Head of Department), Tribal Development (All States)

Subject: Submission of project proposal under Special Central Assistance to Tribal Sub-Plan (SCA to TSP) and Grants under proviso to Article 275 (1) of the Constitution for 2016-17-standard proforma.

Sir / Madam,

As you are aware of that revised Guidelines for SCA to TSP and Grants under proviso to Article 275 (1) have already been circulated. Copies thereof are also available on Ministry's website (SCA:<http://www.tribal.gov.in/Content/StateGrantDivision.aspx> , Art. 275(1) Grants: <http://www.tribal.gov.in/Content/SstateGrantDivision.aspx>).

2 Further, vide this Ministry's letter of even number dated 01.07.2016, it has been requested to furnish the composite proposal by 25.7.2016. PAC meetings for appraisal and approval of State proposals for the year 2016-17 in respect of SCA to SCP and Article 275 (1) are scheduled to be held in 1st fortnight of August, 2016.

3 Adhoc grants have already been released to State Governments after taking into account 75% allocation as indicated in Annexure 3 of revised Guidelines. Criteria for evaluation of performance to be used for remaining 25% allocation will be communicated shortly.

4 Standard proformas have also been formulated for submission of the proposals and attached herewith. State Governments are requested to furnish activity-wise, sector-wise, district-wise and major community-wise proposals in these proforma only. An explanatory note on Annexures is also enclosed for the convenience of the State Government. These formats are illustrative. State Government may improve upon this taking it as minimum bench mark.

5 Projects/activities proposed to be included under SCA to TSP and Art. 275(1) Grants can be upto 1.25 – 1.5 times of indicated allocation to each State.



6 Soft copy of the consolidated proposal may invariable be made available to this Ministry at the email addresses (SCA to TSP : pinguamk@ias.nic.in and pk.sahoo@nic.in ; Art. 275 (1) Grants : js.mota-tribal@gov.in and pk.sahoo@nic.in).

7 This has the approval of Secy (TA).

Yours faithfully,



(P.K.Sahoo)

Under Secretary to the Govt. of India

Tele: 23073709

Copy to:

All PAC members / Invitees

Copy forwarded for information to :

- (1) JSs (and Eqv. Offrs.), MoTA (All)
- (2) PS to Hon'ble Minister. Tribal Affairs
- (3) PS to Hon'ble MoS, Tribal Affairs
- (4) Directors / Deputy Secretaries, MoTa (All)
- (5) PPS to Secy (TA)



(P.K.Sahoo)

Under Secretary (SCA / Grants)

Explanatory note to Annexures

Annexure 1 : Demographic details and data regarding Human Development Indices (HDI) have been sought for analysis and to ascertain gap. Source of data and figures should invariably be indicated so that it could be verifiable.

Annexure 2 : Population figures and area details of ITDP / MADA / Clusters is required for calculation of inter-State allocation as per Guidelines. Data / figures should be verifiable.

Annexure 3 : TSP allocation and expenditure made during 12th Five Year Plan period is required to ascertain adherence to extant guidelines.

Annexure 4 :

- (A) (i) 75% minimum and maximum will be the amount indicated in Annexure 3 of Guidelines.
(ii) 25 % minimum and maximum is $\frac{1}{3}$ rd of (a) above.
(iii) State Government has to submit proposals amounting to 1.25 to 1.50 times of 100% maximum, calculated as above.
- (B)&(C) (i) In Col 2, name of all Districts should figure. Districts having 25% or more ST population including LWE Affected Districts as mentioned in Annexure 4 of Guidelines may also be indicated.
(ii) Figure in Col 3 to 7 should match with the figures indicated in Annexure 2.
(iii) In Col 8, proportionate share of the District based on ST population out of 66 $\frac{2}{3}$ % of total Maximum (in Table A) is to be indicated.
(iv) In Col 9, proportionate share of the District based on area (of ITDP/ ITDA / Concerned Block / Panchayat Samiti where ST Population is 50% or more) out of 33 $\frac{1}{3}$ % of total Maximum (in Table A) is to be indicated. For Tribal Majority States, total area of District is to be taken into account.

Annexure 5: Committed liability towards projects / activities sanctioned by MoTA in earlier years is to be indicated.

Annexure 6(a) & (b) and 7(a) & (b):

- (i) Col. 2 shows district of location of proposed project / activity.
(ii) In col. 5, proposed activity in District mentioned in Col 2 should be indicated. For example: 'Construction of Higher Secondary School', at 'x' village / location.
(iii) In col. 7, entire cost of proposed project / activity is to be indicated and year wise phasing in maximum two years may be indicated in col 8 & 9.
(iv) In Col 10 to 14, figures may be indicated, which may add up to total cost (col. 7).
(v) In Col 15, physical output may be indicated.
(vi) In Col 17, community may be as per Annexure 5 of Guidelines.

Annexure 8(a) & (b) :

- (i) In Col 2, name of community may be indicated in consonance with Col 17 of Annexure 6(a) & (b).
(ii) In Col 3, percentage may be worked with respect to total ST population in the State.
(iii) In Col 8, 9 & 10, figures entered as in Col 12, 13 & 14 of Annexure 6 respectively.

Annexure- 9: In Col 2, an illustrative list of activities (out of Annexure 6 of Guidelines) to be proposed by the State Government has been given. Activity, therefore, can be added to. The activities proposed herein should be as per need of a particular Distt / Community.

Annexure 1

State :

Sl. No.	Indicator Description	All	STs	%
1.	State Population (Census 2011)			
	Male			
	Female			
	Total			
	Rural			
	Urban			
	Total			
2.	Education			Gap %
	Literacy rate (Census 2011)	Person		
		Male		
		Female		
	Dropout rates (I – X) (Statistics of School Education) (Ref year)	Total		
		Boys		
		Girls		
3.	Health (NFHS 3, 2005-06) or later year			
	Infant Mortality Rate (IMR)			
	Child Mortality Rate (CMR)			
	Under 5 Mortality Rate (U5MR)			
	Prevalence of any anaemia (<12.0 grams per deciliter in women)			
	Institutional delivery			
4.1	Livelihood Census (2011) (In Lakhs)			
	(1) Total workers			
	(2) Main workers			
	(3) Marginal workers			
	(4) Non-workers			
4.2	Occupational Distribution			
	(1) Cultivators			
	Agricultural Labourers			
	HH Industrial workers			
	Others			
5.	Any other indicator with implications for Tribal Development Planning and Implementation			

Annexure 2

State :

(A) ITDP

Sl. No.	District	ITDP Name (HQR.)	Blocks	Area in Sq. Km	Population in lakhs		ST %
					Total	ST	
1	2	3	4	5	6	7	8

1. 1.
2.
:

Sub total (.. Blocks): %

2. 1.
2.
:

Sub total (.. Blocks):

:

Total :

(B) MADA Pockets

Sl. No.	District	Block	MADA (Village Name)	Revenue Village				
				Name	Area in Sq. Km.	Population		ST %
						Total	ST	
1	2	3	4	5	6	7	8	9

1. (A - B) 1.
2.
:

Sub total (.. Villages): %

2. (A - B) 1.
2.
:

Sub total (.. Villages): %

:

Total :

(C) Cluster

Sl. No.	District	Blocks	Cluster (Village Name)	Revenue Village				
				Name	Area in Sq. Km.	Population		ST %
						Total	ST	
1	2	3	4	5	6	7	8	9

1. (A - B) 1.
2.
3.

Sub total (3 Villages): %

2. (A - B) 1.
2.
:

Sub total (.. Villages): %

:

Total :

Note: 1. A - B (MADA / Cluster), A is district name and B is revenue village having maximum ST popln within the particular MADA / Cluster Pocket.

2. Integrated Tribal Development Project (ITDP): It is an area having one or more Development Blocks in which ST popln is 50% or more of total popln of such Blocks. Complete Development Block / Panchayat Samiti is the minimum constituent unit of an ITDP. There can be more than one ITDP in a District. Even an ITDP may also cover blocks from different contiguous districts. ITDP will be generally named after the location of its HQR or the district where its HQR is located.

3. Modified Area Development Approach (MADA): These are identified pockets (consisting of one or more revenue villages) in contiguous areas having 50% or more ST popln within total popln of 10,000 or more in such area.

4. Cluster: These are identified pockets (with one or more revenue village(s) being constituent units) having 50% or more ST popln within total popln of 5,000 or more in such area.

Statement for TSP Analysis

(A) Total State Plan

(Rs in crore)

Year	State Plan (Amount) ¹	TSP Amount	TSP %	Remarks
1	2	3	4	5
2012-13				
2013-14				
2014-15				
2015-16				
2016-17				

(B) Sector-wise Allocation under TSP

(Rs in crore)

Sl. No.	Sector/Major Head ²	2014-15 Allocation		2015-16 Allocation		2016-17 Allocation		Remarks
		Total	TSP(%)	Total	TSP(%)	Total	TSP(%)	
1	2	3	4	5	6	7	8	9
1								
2								
3								
4								
..								
..								
Others								
Total								

- Note: ⁽¹⁾
- For 2012-13, 2013-14 and 2014-15, all figures should be actual expenditure.
 - For 2015-16, RE figures would suffice, if expenditure is yet to be finalized.
 - For 2016-17, BE figures may be given.
- ⁽²⁾
- Only those sectors (like Roads, Irrigation, Power etc.), which have more than 5% of total allocation may be specified.
 - Other Sectors may be clubbed together.

Table (A) : 2016-17 Allocation under SCA and Art 275(1) Grants

(Rs. in crore)

Sl. No.	Description	SCA	Art. 275(1)	Remarks
1	2	3	4	5
1.	Proposed outlay			
1.1	75% Minimum			
1.2	75% Maximum			
2.1	25% Minimum			
2.2	25% Maximum			
3.1	Total Minimum (1.1 + 2.1)			
3.2	Total Maximum (1.2 + 2.2)			
	Activities / Proposed Outlay			
4.1	Minimum (125% of 3.2)			
4.2	Maximum (150% of 3.2)			

(B) Inter-District Allocation (SCA to TSP)

(Rs. in Crore)

Sl. No.	District	District ST Popl ⁿ	District Tribal Area (From Annexure 2)				Budget Allocation (Total : 3.2 above)		
			ITDA	MADA	Cluster	Total	66% % (Popl ⁿ)	33% % (Area)	Total
01	2	3	4	5	6	7	8	9	10
1									
2									
3									
..									
..									
Total:									

(C) Inter-District Allocation [Art. 275(1) Grants]

Sl. No.	District	District ST Popl ⁿ	District Tribal Area (From Annexure 2)				Budget Allocation (Total : 3.2 above)		
			ITDA	MADA	Cluster	Total	66% % (Popl ⁿ)	33% % (Area)	Total
1	2	3	4	5	6	7	8	9	10
1									
2									
3									
..									
..									
Total:									

Committed Liability of ongoing projects

(Starting from year of first Approval)

Year of first Approval	Project Name	Location (District, ITDP / ITDA / MADA / Cluster including Village)	Approved under [SCA/Art. 275(1)]	Total Project Estimate	Amount Already Released		Balance	Progress of Work		Remarks
					Year	Amount		Utilization of released fund (upto 31.3.2016)	Physical Achievement	
Sl. No.										
1	2	3	4	5	6	7	8 (5-7)	9	10	11
For example 2005-06										
1					Y1					
2					Y2					
..					..					
					Sub Total					
For example 2006-07										
1					Y1					
2					Y2					
..					..					
					Sub Total					

Annexure 6(a)

District wise Project Proposal (under SCA to TSP)

(Rs in lakh upto 2 decimal points)

Sl. No.	District	Sector of Activity	Sl. No. of Activity	Project / Activity Description	Project Location (ITDP / MADA / Cluster specify Village)	Cost estimate			Source of proposed funding					Benefits		Target Community
						Total	Year 1	Year 2	GOI CS / CSS	State TSP	SCA			Description (Physical Activity)	No. of beneficiaries	
											Non-recurring	Recurring	Total			
1	2	3	4	5	6	7(8+9)	8	9	10	11	12	13	14	15	16	17
1.		Edu	1.1	E 1.												
			1.2	E2.												
			1.3	E.3												
				Sub Total:												
				Total (D1)												
2.	D2															
				Grand Total:												

NB:

- (1) Sectors refer to category (Annexure 6 to Guidelines) wherein proposed activity / project falls. For Example: Education, Health, Agriculture, Horticulture, Animal Husbandry (AH) & Dairying, Income Generation, and others.
- (2) Wherever data is not immediately available write NA.

Annexure 7(a)

Sector wise summary of proposed projects / activities (under SCA to TSP)

(Rs. in lakhs)

S. No.	Sector	Activity Description (Refer Annex 6 Col 5)	Cost estimate			Source of proposed funding						No. of Beneficiaries	Remarks
			Total	Year 1	Year 2	GOI CS / CSS	State TSP	SCA					
								Non-recurring	Recurring	Total			
1	2	3	4 (5+6)	5	6	7	8	9	10	11	12	13	

Annexure 7(b)

Sector wise summary of proposed projects / activities [under Art. 275(1) Grants]

(Rs. in lakhs)

S. No.	Sector	Activity Description (Refer Annex 6 Col 5)	Cost estimate			Source of proposed funding						No. of Beneficiaries	Remarks
			Total	Year 1	Year 2	GOI CS / CSS	State TSP	Art. 275(1) Grants					
								Non-recurring	Recurring	Total			
1	2	3	4 (5+6)	5	6	7	8	9	10	11	12	13	

Annexure 8(a)

Community wise Project Analysis (SCA to TSP)

S.No.	Community	% of ST Popl ⁿ in State	Inhabited District	Projects which largely benefit the Community					Benefit Description ⁿ	Beneficiaries Target (No.)
				Project Description	Sector	Total Project Cost				
						Non Rec	Rec	Total		
1	2	3	4	6	7	8	9	10	11	12
1.	T1		D1 D2 D3	Activity – Sl. No (refer Annex 6 col. 5)	Education					
					Sub Total:					
2.	T2									
3.	T3									
					Total:					

Annexure 8(b)

Community wise Project Analysis [Art. 275(1) Grants]

S.No.	Community	% of ST Popl ⁿ in State	Inhabited District	Projects which largely benefit the Community					Benefit Description ⁿ	Beneficiaries Target (No.)
				Project Description	Sector	Total Project Cost				
						Non Rec	Rec	Total		
1	2	3	4	6	7	8	9	10	11	12
1.	T1		D1 D2 D3	Activity – Sl. No (refer Annex 6 col. 5)	Education					
					Sub Total:					
2.	T2									
3.	T3									
					Total:					

Sector-wise proposed Activity / Project Analysis

S.No.	Activity / Project Description	Proposed projects - Activity Sl. No (refer Annex 6 col. 5)	Project Estimate			Funding Source				Beneficiaries
			Unit	Unit Cost	Total Cost	State TSP	GOI Scheme	SCA	Art. 275(1) Grants	
1	2	3	4	5	6	7	8	9	10	11
A	Education									
A1	Secondary education – Building infrastructure									
A2	Talent - nurturing measures									
A3	Innovative Steps. - English medium teaching - Scholarship for public schools (private sector)									
A4	Others									
B	Health									
B1	Addition to / Strengthening of building infrastructure of CHC / PHC									
B2	Conduct of screening for acute health problems like Sickle Cell Anemia among Tribal Students and provision of health cards									
B3	Focus on eradication of prevalent endemic health problems like Malaria, Leprosy, TB etc									
B4	Others									

S.No.	Activity / Project Description	Proposed projects - Activity Sl. No (refer Annex 6 col. 5)	Project Estimate			Funding Source				Beneficiaries
			Unit	Unit Cost	Total Cost	State TSP	GOI Scheme	SCA	Art. 275(1) Grants	
1	2	3	4	5	6	7	8	9	10	11
C	Agriculture									
C1	Soil Health Card and soil Management									
C2	Water conservation measures									
C3	Extension activity, commercial medicinal crops etc.									
C4	Target for polyhouses / greenhouses									
C5	Water augmentation practices (Drip irrigation etc)									
C6	Dairy Development Infrastructure									
C7	Water body conservation									
C8	Others									
D	Income Generating Schemes									
D1	Establishment of Agro / forest / natural resource based micro / village industries through training of Tribal Cooperatives / SHGs / individual entrepreneurs.									
D2	Promotion and skill development in traditional tribal cultural attributes like tribal jewelry, painting, dance forms, music and culinary art etc.									

S.No.	Activity / Project Description	Proposed projects - Activity Sl. No (refer Annex 6 col. 5)	Project Estimate			Funding Source				Beneficiaries
			Unit	Unit Cost	Total Cost	State TSP	GOI Scheme	SCA	Art. 275(1) Grants	
1	2	3	4	5	6	7	8	9	10	11
D3	Specify any other activity with assured self-employment / placement linkages.									
E	Skill Development Measures									
E1	To focus on women centric activities / projects with provision of major sanctioned amount for female beneficiaries.									
E2	Provision for additional units / classes in special vocational training courses in govt. institutions especially MSME tool rooms (Also for school dropouts)									
E3	Any other activities									

Education Sector Analysis

Sl.No.	District (Refer Annexure 4 of guidelines)	No. of GPs	No. of Sr. Sec. (Sec. Schools)	New Schools proposed			Existing Residential Schools	Hostels (without school)	Proposed Activity		
				Secondary	Sr. Sec	EMRS			Schools with hostels	Upgradation	
										Sr.Sec	Sec.
1	2	3	4	5	6	7	8	9	10	11	12

Health Sector Analysis

A.

Sl.No.	District (Refer Annexure 4 of guidelines)	Total Population (Census 2011)	No. of CHCs required as per norm (1 per 80,000)	No. of CHCs available	Present Deficit	Additional CHCs proposed	Project cost	Amount for equipments	Total Amount
1	2	3	4	5	6	7	8	9	10

B.

Sl.No.	District (Refer Annexure 4 of guidelines)	Total Population (Census 2011)	No. of PHCs required as per norm (1 per 20,000)	No. of PHCs available	Present Deficit	Additional PHCs proposed	Project cost	Amount for equipments	Total Amount
1	2	3	4	5	6	7	8	9	10